DEPARTMENT OF MATHEMATICS

MATH2000 Quadratic forms (solutions)

(1) (a)
$$2x^2 - 3xy + 4y^2 - 7x + 2y + 7 = 0$$

 $\Rightarrow (x \ y) \begin{pmatrix} 2 & -3/2 \\ -3/2 & 4 \end{pmatrix} \begin{pmatrix} x \\ y \end{pmatrix} + (-7 \ 2) \begin{pmatrix} x \\ y \end{pmatrix} + 7 = 0.$
(b) $x^2 - xy + 5x + 8y - 3 = 0$
 $\Rightarrow (x \ y) \begin{pmatrix} 1 & -1/2 \\ -1/2 & 0 \end{pmatrix} \begin{pmatrix} x \\ y \end{pmatrix} + (5 \ 8) \begin{pmatrix} x \\ y \end{pmatrix} - 3 = 0.$
(c) $5xy = 8$
 $\Rightarrow (x \ y) \begin{pmatrix} 0 & 5/2 \\ 5/2 & 0 \end{pmatrix} \begin{pmatrix} x \\ y \end{pmatrix} - 8 = 0.$
(d) $4x^2 - 2y^2 = 7$
 $\Rightarrow (x \ y) \begin{pmatrix} 4 & 0 \\ 0 & -2 \end{pmatrix} \begin{pmatrix} x \\ y \end{pmatrix} - 7 = 0.$
(e) $y^2 + 7x - 8y - 5 = 0$
 $\Rightarrow (x \ y) \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix} \begin{pmatrix} x \\ y \end{pmatrix} + (7 \ -8) \begin{pmatrix} x \\ y \end{pmatrix} - 5 = 0.$

(2) We can express the quadratic form in matrix notation by

$$Q(x,y) = 5x^{2} + 2y^{2} + 4xy$$

= $\begin{pmatrix} x & y \end{pmatrix} \begin{pmatrix} 5 & 2 \\ 2 & 2 \end{pmatrix} \begin{pmatrix} x \\ y \end{pmatrix}$
= $x^{T}Ax$.

We then look at orthogonally diagonalising the matrix A. The characteristic equation of A is

$$det(A - \lambda I) = (5 - \lambda)(2 - \lambda) - 4$$
$$= 10 - 7\lambda + \lambda^2 - 4$$
$$= \lambda^2 - 7\lambda + 6$$
$$= (\lambda - 6)(\lambda - 1) = 0$$

For $\lambda = 6$:

$$\begin{pmatrix} -1 & 2 \\ 2 & -4 \end{pmatrix} \begin{pmatrix} a \\ b \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \end{pmatrix} \Rightarrow a = 2b, \Rightarrow \begin{pmatrix} 2 \\ 1 \end{pmatrix} \text{ is an eigenvector,}$$

which we normalise to $\begin{pmatrix} 2/\sqrt{5} \\ 1/\sqrt{5} \end{pmatrix}$.

For $\lambda = 1$:

$$\begin{pmatrix} 4 & 2 \\ 2 & 1 \end{pmatrix} \begin{pmatrix} a \\ b \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \end{pmatrix} \Rightarrow b = -2a, \Rightarrow \begin{pmatrix} 1 \\ -2 \end{pmatrix} \text{ is an eigenvector,}$$

which we normalise to $\begin{pmatrix} 1/\sqrt{5} \\ -2/\sqrt{5} \end{pmatrix}$.

We then form the orthogonal matrix

$$P = \begin{pmatrix} 1/\sqrt{5} & 2/\sqrt{5} \\ -2/\sqrt{5} & 1/\sqrt{5} \end{pmatrix}, P^{T} = \begin{pmatrix} 1/\sqrt{5} & -2/\sqrt{5} \\ 2/\sqrt{5} & 1/\sqrt{5} \end{pmatrix},$$

so that $P^{-1} = P^T$, where we have chosen the order of the columns so that det(P) = 1, that is, P corresponds to a rotation (since it is orthogonal with det = 1).

We then have that $A = PDP^T$, where $D = \begin{pmatrix} 1 & 0 \\ 0 & 6 \end{pmatrix}$.

The quadratic form can then be expressed as

$$Q(x,y) = \underline{x}^T P D P^T \underline{x}.$$

We introduce the new variables u and v such that

$$\underbrace{u}_{\sim} = \left(\begin{array}{c} u\\ v \end{array}\right) = P^T \underbrace{x}_{\sim} = \left(\begin{array}{c} 1/\sqrt{5} & -2/\sqrt{5}\\ 2/\sqrt{5} & 1/\sqrt{5} \end{array}\right) \left(\begin{array}{c} x\\ y \end{array}\right) = \left(\begin{array}{c} (x-2y)/\sqrt{5}\\ (2x+y)/\sqrt{5} \end{array}\right).$$

Hence the quadratic form can be expressed in terms of u and v:

$$Q = \underline{u}^T D \underline{u} = u^2 + 6v^2,$$

or rewritten in terms of x and y, we have

$$Q(x,y) = \left(\frac{x-2y}{\sqrt{5}}\right)^2 + 6\left(\frac{2x+y}{\sqrt{5}}\right)^2.$$

(3) The quadratic form can be expressed as

$$f(x,y) = 5x^{2} + 5y^{2} - 2xy = \left(\begin{array}{cc} x & y \end{array}\right) \left(\begin{array}{cc} 5 & -1 \\ -1 & 5 \end{array}\right) \left(\begin{array}{c} x \\ y \end{array}\right).$$

The matrix $\begin{pmatrix} 5 & -1 \\ -1 & 5 \end{pmatrix}$ has characteristic equation

$$\det \begin{pmatrix} 5-\lambda & -1\\ -1 & 5-\lambda \end{pmatrix} = 0$$

$$\Rightarrow (5-\lambda)(5-\lambda) - 1 = 0$$

$$\Rightarrow 25 - 10\lambda + \lambda^2 - 1 = 0$$

$$\Rightarrow 24 - 10\lambda + \lambda^2 = 0$$

$$\Rightarrow (6-\lambda)(4-\lambda) = 0$$

$$\Rightarrow \lambda = 6, 4.$$

For $\lambda = 6$:

$$\begin{pmatrix} -1 & -1 \\ -1 & -1 \end{pmatrix} \begin{pmatrix} a \\ b \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \end{pmatrix} \Rightarrow a = -b \Rightarrow \begin{pmatrix} 1 \\ -1 \end{pmatrix} \text{ is an eigenvector,}$$

which we normalise to $\begin{pmatrix} 1/\sqrt{2} \\ -1/\sqrt{2} \end{pmatrix}$.

For $\lambda = 4$:

$$\begin{pmatrix} 1 & -1 \\ -1 & 1 \end{pmatrix} \begin{pmatrix} a \\ b \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \end{pmatrix} \Rightarrow a = b \Rightarrow \begin{pmatrix} 1 \\ 1 \end{pmatrix} \text{ is an eigenvector,}$$

which we normalise to $\begin{pmatrix} 1/\sqrt{2} \\ 1/\sqrt{2} \end{pmatrix}$.

We then form the orthogonal matrix

$$P = \begin{pmatrix} 1/\sqrt{2} & 1/\sqrt{2} \\ -1/\sqrt{2} & 1/\sqrt{2} \end{pmatrix}, P^{T} = \begin{pmatrix} 1/\sqrt{2} & -1/\sqrt{2} \\ 1/\sqrt{2} & 1/\sqrt{2} \end{pmatrix},$$

so that $P^{-1} = P^T$, where we have chosen the order of the columns so that det(P) = 1, that is, P corresponds to a rotation (since it is orthogonal with det = 1).

We then have that $A = PDP^T$, where $D = \begin{pmatrix} 6 & 0 \\ 0 & 4 \end{pmatrix}$.

We introduce the new variables u and v such that

$$\begin{aligned} u &= \begin{pmatrix} u \\ v \end{pmatrix} = P^T x = \begin{pmatrix} 1/\sqrt{2} & -1/\sqrt{2} \\ 1/\sqrt{2} & 1/\sqrt{2} \end{pmatrix} \begin{pmatrix} x \\ y \end{pmatrix} = \begin{pmatrix} (x-y)/\sqrt{2} \\ (x+y)/\sqrt{2} \end{pmatrix} \\ \Rightarrow 5x^2 - 2xy + 5y^2 = 6u^2 + 4v^2 = 6\left(\frac{x-y}{\sqrt{2}}\right)^2 + 4\left(\frac{x+y}{\sqrt{2}}\right)^2, \end{aligned}$$

which is clearly > 0 when $x \neq 0$.

(4) We can rewrite the equation as

$$\begin{pmatrix} x & y \end{pmatrix} \begin{pmatrix} 2 & -2 \\ -2 & -1 \end{pmatrix} \begin{pmatrix} x \\ y \end{pmatrix} + \begin{pmatrix} -4 & -8 \end{pmatrix} \begin{pmatrix} x \\ y \end{pmatrix} = -14.$$

We seek to orthogonally diagonalise $A = \begin{pmatrix} 2 & -2 \\ -2 & -1 \end{pmatrix}$, in order to describe the rotation of the coordinate axes. To this end,

$$det(A - \lambda I) = (2 - \lambda)(-1 - \lambda) - 4$$
$$= -2 - \lambda + \lambda^2 - 4$$
$$= \lambda^2 - \lambda - 6$$
$$= (\lambda - 3)(\lambda + 2) = 0.$$

For $\lambda = -2$:

$$\begin{pmatrix} 4 & -2 \\ -2 & 1 \end{pmatrix} \begin{pmatrix} a \\ b \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \end{pmatrix} \Rightarrow b = 2a \Rightarrow \begin{pmatrix} 1 \\ 2 \end{pmatrix} \text{ is an eigenvector,}$$

which we normalise to $\begin{pmatrix} 1/\sqrt{5} \\ 2/\sqrt{5} \end{pmatrix}$.

For $\lambda = 3$:

$$\begin{pmatrix} -1 & -2 \\ -2 & -4 \end{pmatrix} \begin{pmatrix} a \\ b \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \end{pmatrix} \Rightarrow a = -2b \Rightarrow \begin{pmatrix} -2 \\ 1 \end{pmatrix} \text{ is an eigenvector,}$$

which we normalise to $\begin{pmatrix} -2/\sqrt{5} \\ 1/\sqrt{5} \end{pmatrix}$.

We then form the orthogonal matrix

$$P = \begin{pmatrix} 1/\sqrt{5} & -2/\sqrt{5} \\ 2/\sqrt{5} & 1/\sqrt{5} \end{pmatrix}, P^{T} = \begin{pmatrix} 1/\sqrt{5} & 2/\sqrt{5} \\ -2/\sqrt{5} & 1/\sqrt{5} \end{pmatrix},$$

so that $P^{-1} = P^T$, where we have chosen the order of the columns so that $\det(P) = 1$, that is, P corresponds to a rotation (since it is orthogonal with $\det = 1$).

We then have that $A = PDP^T$, where $D = \begin{pmatrix} -2 & 0 \\ 0 & 3 \end{pmatrix}$.

We introduce the new variables u and v such that

$$\underline{u} = \begin{pmatrix} u \\ v \end{pmatrix} = P^T \underline{x} = \begin{pmatrix} 1/\sqrt{5} & 2/\sqrt{5} \\ -2/\sqrt{5} & 1/\sqrt{5} \end{pmatrix} \begin{pmatrix} x \\ y \end{pmatrix} = \begin{pmatrix} (x+2y)/\sqrt{5} \\ (-2x+y)/\sqrt{5} \end{pmatrix}$$

It is also useful to note the reverse rotation, so that

$$x = \begin{pmatrix} x \\ y \end{pmatrix} = Pu = \begin{pmatrix} 1/\sqrt{5} & -2/\sqrt{5} \\ 2/\sqrt{5} & 1/\sqrt{5} \end{pmatrix} \begin{pmatrix} u \\ v \end{pmatrix} = \begin{pmatrix} (u-2v)/\sqrt{5} \\ (2u+v)/\sqrt{5} \end{pmatrix}.$$

The equation can then be written in terms of the new variables as

$$\begin{pmatrix} u & v \end{pmatrix} \begin{pmatrix} -2 & 0 \\ 0 & 3 \end{pmatrix} \begin{pmatrix} u \\ v \end{pmatrix} + \begin{pmatrix} -4 & -8 \end{pmatrix} \begin{pmatrix} (u-2v)/\sqrt{5} \\ (2u+v)/\sqrt{5} \end{pmatrix} = -14$$
$$\Rightarrow -2u^2 + 3v^2 - \frac{20}{\sqrt{5}}u = -14.$$

To finish the process of writing the equation in standard form, we complete the square in the variable u:

$$\Rightarrow -2\left(u^{2} + \frac{10}{\sqrt{5}}u + 5\right) + 10 + 3v^{2} = -14$$
$$\Rightarrow -2\left(u + \frac{5}{\sqrt{5}}\right)^{2} + 3v^{2} = -24.$$

Hence we arrive at the final set of variables s and t, given by

$$\begin{pmatrix} s \\ t \end{pmatrix} = \begin{pmatrix} u \\ v \end{pmatrix} + \begin{pmatrix} 5/\sqrt{5} \\ 0 \end{pmatrix}$$
$$= \begin{pmatrix} 1/\sqrt{5} & 2/\sqrt{5} \\ -2/\sqrt{5} & 1/\sqrt{5} \end{pmatrix} \begin{pmatrix} x \\ y \end{pmatrix} + \begin{pmatrix} 5/\sqrt{5} \\ 0 \end{pmatrix},$$

or equivalently

$$\begin{pmatrix} x \\ y \end{pmatrix} = \begin{pmatrix} 1/\sqrt{5} & -2/\sqrt{5} \\ 2/\sqrt{5} & 1/\sqrt{5} \end{pmatrix} \begin{pmatrix} s \\ t \end{pmatrix} - \begin{pmatrix} 1/\sqrt{5} & -2/\sqrt{5} \\ 2/\sqrt{5} & 1/\sqrt{5} \end{pmatrix} \begin{pmatrix} 5/\sqrt{5} \\ 0 \end{pmatrix}$$
$$= \begin{pmatrix} 1/\sqrt{5} & -2/\sqrt{5} \\ 2/\sqrt{5} & 1/\sqrt{5} \end{pmatrix} \begin{pmatrix} s \\ t \end{pmatrix} - \begin{pmatrix} 1 \\ 2 \end{pmatrix}.$$

The equation in terms of s and t can be expressed as

$$\frac{s^2}{12} - \frac{t^2}{8} = 1.$$

This is the equation of a hyperbola.

Question: are you able to plot the graph?

(5) We can rewrite the equation as

$$\begin{pmatrix} x & y \end{pmatrix} \begin{pmatrix} 9 & -2 \\ -2 & 6 \end{pmatrix} \begin{pmatrix} x \\ y \end{pmatrix} + \begin{pmatrix} -10 & -20 \end{pmatrix} \begin{pmatrix} x \\ y \end{pmatrix} - 5 = 0.$$

We seek to orthogonally diagonalise $A = \begin{pmatrix} 9 & -2 \\ -2 & 6 \end{pmatrix}$, in order to describe the rotation of the coordinate axes. To this end,

$$det(A - \lambda I) = (9 - \lambda)(6 - \lambda) - 4$$

= 54 - 15\lambda + \lambda^2 - 4
= \lambda^2 - 15\lambda + 50
= (\lambda - 10)(\lambda - 5) = 0.

For $\lambda = 5$:

$$\begin{pmatrix} 4 & -2 \\ -2 & 1 \end{pmatrix} \begin{pmatrix} a \\ b \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \end{pmatrix} \Rightarrow b = 2a \Rightarrow \begin{pmatrix} 1 \\ 2 \end{pmatrix} \text{ is an eigenvector,}$$

which we normalise to $\begin{pmatrix} 1/\sqrt{5} \\ 2/\sqrt{5} \end{pmatrix}$.

For $\lambda = 10$:

$$\begin{pmatrix} -1 & -2 \\ -2 & -4 \end{pmatrix} \begin{pmatrix} a \\ b \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \end{pmatrix} \Rightarrow a = -2b \Rightarrow \begin{pmatrix} -2 \\ 1 \end{pmatrix} \text{ is an eigenvector,}$$

which we normalise to $\begin{pmatrix} -2/\sqrt{5} \\ 1/\sqrt{5} \end{pmatrix}$.

We then form the orthogonal matrix

$$P = \begin{pmatrix} 1/\sqrt{5} & -2/\sqrt{5} \\ 2/\sqrt{5} & 1/\sqrt{5} \end{pmatrix}, P^{T} = \begin{pmatrix} 1/\sqrt{5} & 2/\sqrt{5} \\ -2/\sqrt{5} & 1/\sqrt{5} \end{pmatrix},$$

so that $P^{-1} = P^T$, where we have chosen the order of the columns so that det(P) = 1, that is, P corresponds to a rotation (since it is orthogonal with det = 1).

We then have that $A = PDP^T$, where $D = \begin{pmatrix} 5 & 0 \\ 0 & 10 \end{pmatrix}$.

We introduce the new variables u and v such that

$$\underbrace{u}_{\sim} = \left(\begin{array}{c} u\\ v \end{array}\right) = P^T \underbrace{x}_{\sim} = \left(\begin{array}{c} 1/\sqrt{5} & 2/\sqrt{5}\\ -2/\sqrt{5} & 1/\sqrt{5} \end{array}\right) \left(\begin{array}{c} x\\ y \end{array}\right) = \left(\begin{array}{c} (x+2y)/\sqrt{5}\\ (-2x+y)/\sqrt{5} \end{array}\right)$$

It is also useful to note the reverse rotation, so that

$$x = \begin{pmatrix} x \\ y \end{pmatrix} = Pu = \begin{pmatrix} 1/\sqrt{5} & -2/\sqrt{5} \\ 2/\sqrt{5} & 1/\sqrt{5} \end{pmatrix} \begin{pmatrix} u \\ v \end{pmatrix} = \begin{pmatrix} (u-2v)/\sqrt{5} \\ (2u+v)/\sqrt{5} \end{pmatrix}.$$

The equation can then be written in terms of the new variables as

$$\begin{pmatrix} u & v \end{pmatrix} \begin{pmatrix} 5 & 0 \\ 0 & 10 \end{pmatrix} \begin{pmatrix} u \\ v \end{pmatrix} + \begin{pmatrix} -10 & -20 \end{pmatrix} \begin{pmatrix} (u-2v)/\sqrt{5} \\ (2u+v)/\sqrt{5} \end{pmatrix} - 5 = 0$$
$$\Rightarrow 5u^2 + 10v^2 - 10\sqrt{5}u - 5 = 0.$$

To finish the process of writing the equation in standard form, we complete the square in the variable u:

$$\Rightarrow 5\left(u^2 - 2\sqrt{5}u + 5\right) - 25 + 10v^2 - 5 = 0$$
$$\Rightarrow 5\left(u - \sqrt{5}\right)^2 + 10v^2 = 30.$$

Hence we arrive at the final set of variables s and t, given by

$$\begin{pmatrix} s \\ t \end{pmatrix} = \begin{pmatrix} u \\ v \end{pmatrix} + \begin{pmatrix} -\sqrt{5} \\ 0 \end{pmatrix}$$
$$= \begin{pmatrix} 1/\sqrt{5} & 2/\sqrt{5} \\ -2/\sqrt{5} & 1/\sqrt{5} \end{pmatrix} \begin{pmatrix} x \\ y \end{pmatrix} + \begin{pmatrix} -\sqrt{5} \\ 0 \end{pmatrix},$$

or equivalently

$$\begin{pmatrix} x \\ y \end{pmatrix} = \begin{pmatrix} 1/\sqrt{5} & -2/\sqrt{5} \\ 2/\sqrt{5} & 1/\sqrt{5} \end{pmatrix} \begin{pmatrix} s \\ t \end{pmatrix} - \begin{pmatrix} 1/\sqrt{5} & -2/\sqrt{5} \\ 2/\sqrt{5} & 1/\sqrt{5} \end{pmatrix} \begin{pmatrix} -\sqrt{5} \\ 0 \end{pmatrix}$$
$$= \begin{pmatrix} 1/\sqrt{5} & -2/\sqrt{5} \\ 2/\sqrt{5} & 1/\sqrt{5} \end{pmatrix} \begin{pmatrix} s \\ t \end{pmatrix} - \begin{pmatrix} 1 \\ 2 \end{pmatrix}.$$

The equation in terms of s and t can be expressed as

$$\frac{s^2}{6} + \frac{t^2}{3} = 1.$$

This is the equation of an ellipse.